

SECTOR

In re Application of:

WU et al

Serial No.: 09/932,253

Filed: August 16, 2001

For: FORMATION OF AN OPTICAL COMPONENT

Group No.: 2874

Examiner: Unknown

Docket No. LIGHT1900-1
(LIGHT2260)

CERTIFICATION UNDER 37 CFR § 1.8

I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service as first class mail on this date 13 November 2001, in an envelope addressed to:
Assistant Commissioner for Patents, Washington, D.C. 20231

13 November 2001
Date

Farouk A. Mead
Signature

BOX MISSING PARTS
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION -
FILING DATE GRANTED**

This document is submitted in response to the NOTICE TO FILE MISSING PARTS OF APPLICATION, dated September 14, 2001.

Transmitted herewith is the executed combined Declaration/Power of Attorney document.

The Fee for this Response is calculated as follows:

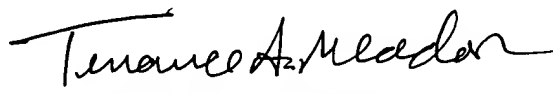
| For | Number Filed | | Number Extra Claims | Large Entity Rate | Small Entity Rate | Calculations |
|--------------------|--------------|---|---------------------|-------------------|-------------------|--------------|
| Total Claims | 72 - 20 | = | 52 | x \$18.00 | x \$9.00 | \$468.00 |
| Independent Claims | 4 - 3 | = | 1 | x \$84.00 | x \$42.00 | \$ 42.00 |
| Basic Filing Fee | | | | \$740.00 | \$370.00 | \$370.00 |
| | | | | | TOTAL FEE | \$880.00 |

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Enclosed in the amount of \$945.00; which consists of \$880.00 for the filing fee and \$65.00 surcharge fee for missing parts of the application. The Commissioner is hereby authorized to charge any fees that may be associated with this communication, or credit any overpayment to Deposit Account No. 07-1895. A duplicate copy of this sheet is enclosed.

Respectfully submitted

Date: 13 November 2001


TERRANCE A. MEADOR
Reg. No. 30, 298

GRAY CARY WARE & FREIDENRICH
4365 Executive Drive, Suite 1100
San Diego, CA 92121-2133

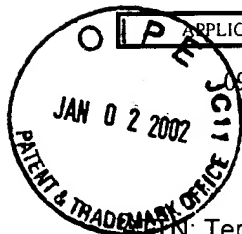
Telephone: (858) 638-6747 Fax: (858) 638-6727

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|---------------------------|
| 09/932,253 | 08/16/2001 | Chi Wu | LIGHT2260 C/M # 2101501-1 |

CONFIRMATION NO. 3916

FORMALITIES LETTER



OC000000006558576

ATTN: Terrance A. Meador
GRAY CARY WARE & FREIDENRICH
Suite 1600
4365 Executive Drive
San Diego, CA 92121-2189

Date Mailed: 09/14/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$508.
 - \$468 for 52 total claims over 20.
 - \$40 for 1 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 928.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/07/2002 BNGUYEN1 00000062 09932253
01 FC:201 370.00 OP
02 FC:203 468.00 OP
03 FC:202 42.00 OP
04 FC:205 65.00 OP